



Russell Investment Company IV PLC  
Guild House, Guild Street  
International Financial Services Centre  
Dublin 1, Republic of Ireland

tel (353) 1 853 8000  
fax (353) 1 853 8491  
www.russell.com

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. ("Debtor")  
Chapter 11 Case No. 08-13555 (jointly administered)

Claim #: 33040

**RIC IV PLC RUSSELL ALPHA FUND** and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

**DEUTSCHE BANK AG, LONDON BRANCH**  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3<sup>rd</sup> Floor  
New York, NY 10005  
Attn: Rich Vichaidith  
Tel: 212-250-5760  
Email: richard.vichaidith@db.com


and its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the amount of \$3,267,788.66 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 22 August, 2013.

**RIC IV PLC RUSSELL ALPHA FUND**

By:   
Name: NEIL JENKINS  
Title: DIRECTOR

